

PLU Math 411 Disclaimer of Financial Responsibility

The content of this course does not provide adequate preparation to actually trade financial instruments of any variety. The models discussed in this class do not capture all aspects of market behavior, even for “risk-free” investments. Be aware that even when the models perform well on past market data, there is no guarantee that they will correctly forecast future market behavior. Prior to engaging in market transactions of any sort, you need further preparation concerning market regulations, margin requirements, transaction fees, and any risks specific to your particular trades. In addition, you need to understand the consequences of experiencing market losses should your trade or trades perform in an undesired manner.

By your signature below and by remaining enrolled in this course, you acknowledge that you have received, read and understood this disclaimer, and that you absolve the professor, your classmates, any guest lecturers and Pacific Lutheran University of any and all responsibility for any future financial losses from your trading of financial instruments of any variety.

Signature _____ Date _____

Name (printed) _____